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BACKUP WITHHOLDING AND TRANSACTION REPORTING REQUIREMENTS - NON US

## **Individual Account Forms**

1 V Questionnaire 2 Personal Information 3 Financial Information 4 Experience 5 Account Statements 6 V Futures Agreement ✓ Electronic Trading Agreement Arbitration Agreement Non US Tax Payer Info 10 V Foreign Certification 11 Risk Disclosure 12 V Security Futures Risk Disclosures 13 V Other Disclosures 14 V Funding Your Account 15 Privacy Policy 16 - Anti-Money Laundering Policy 17 V Personal Funds Certification 18 Submit

\* Required Field

If you fail to furnish us with an exemption certificate as a "Foreign Person, we may be required to withhold 20% of any withdrawals or payments from your account.

Foreign Person Exemption

Initial here if this is the account of an EXEMPT FOREIGN PERSON meeting each of the following requirements:

- 1. You are neither a citizen nor a resident of the United States;
- 2. You have not been nor plan to be in the U.S. for a period aggregating 183 or more days during the calendar year; and
- 3. You do not expect to engage in trade or business in the United States with respect to which any gain derived from transactions effected by the broker during that calendar year is effectively connected.

If your mailing address is within the United States, please provide your non-United States address below:

Name:

Street:

City:

Country:

State:

Zip Code:

Logout

CERTIFICATION Under the penalties of perjury, I certify that the information provided on this form is true, correct,

BY TYPING MY/OUR SIGNATURE(S) BELOW AND FORWARDING IT/THEM TO RCG VIA THE INTERNET, I/WE ACKNOWLEDGE THAT I/WE HAVE READ AND UNDERSTAND THE FOREGOING AGREEMENT, THAT I/WE INTEND TO RELY UPON IT AND THAT I/WE INTEND TO BE BOUND THEREBY. I/WE UNDERSTAND AND AGREE THAT MY/OUR ELECTRONIC SIGNATURE(S) IS/ARE THE EQUIVALENT OF A MANUAL SIGNATURE(S) AND THAT RCG MAY RELY UPON IT AS SUCH.

Electronic Signature: \*

(Please Sign as: Jiri Pokomy)

Date:\*

11/25/2013

(MIM/DD/YYYY)

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